

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

ANTHONY C. STITH,

Plaintiff,

vs.

CV F 05 0023 AWI WMW P

FINDINGS AND RECOMMENDATIONS  
RE MOTION TO DISMISS  
(DOCUMENT 26)

HARRELL WATTS,, et al.,

Defendants.

Plaintiff is a federal prisoner proceeding pro se in a civil rights action pursuant to Bivens v. Six Unknown Agents, 403 U.S. 388 (1971). Pending before the court is defendants' motion to dismiss for failure to state a claim pursuant to Federal Rule of Civil Procedure 12(b)(6). Plaintiff has opposed the motion.

Motion to Dismiss

A Rule 12(b)(6) Motion tests the legal sufficiency of the claim or claims stated in the Complaint. "The focus of any Rule 12(b)(6) dismissal . . . is the complaint." Schneider v. California Dept. of Corr., 151 F.3d 1194, 1197 n.1 (9th Cir. 1998). In considering a motion to dismiss for failure to state a claim, the court must accept as true the allegations of the complaint in question, Hospital Bldg. Co. v. Rex Hospital Trustees, 425 U.S. 738, 740 (1976), construe the pleading in the light most favorable to the party opposing the motion, and resolve all doubts in

1 the pleader's favor. Jenkins v. McKeithen, 395 U.S. 411, 421, reh'g denied, 396 U.S. 869  
 2 (1969). The federal system is one of notice pleading. Galbraith v. County of Santa Clara, 307  
 3 F.3d 1119, 1126 (2002). "Rule 8(a)'s simplified pleading standard applies to all civil actions,  
 4 with limited exceptions," none of which apply to section 1983 actions. Swierkiewicz v. Sorema  
 5 N. A., 534 U.S. 506, 512 (2002); Fed. R. Civ. Pro. 8(a). Pursuant to Rule 8(a), a complaint must  
 6 contain "a short and plain statement of the claim showing that the pleader is entitled to relief . . ."  
 7 Fed. R. Civ. P. 8(a). "Such a statement must simply give the defendant fair notice of what the  
 8 plaintiff's claim is and the grounds upon which it rests." Swierkiewicz, 534 U.S. at 512.

9 A court may dismiss a complaint only if it is clear that no relief could be granted under  
 10 any set of facts that could be proved consistent with the allegations. Id. at 514. Discovery and  
 11 summary judgment motions - not motions to dismiss - "define disputed facts" and "dispose of  
 12 unmeritorious claims." Id. at 512. "The issue is not whether a plaintiff will ultimately prevail  
 13 but whether the claimant is entitled to offer evidence to support the claims. Indeed it may appear  
 14 on the face of the pleadings that a recovery is very remote and unlikely but that is not the test."  
 15 Jackson v. Carey, 353 F.3d 750, 755 (9th Cir. 2003) (*quoting* Scheuer v. Rhodes, 416 U.S. 232,  
 16 236 (1974)); *see also* Austin v. Terhune, 367 F.3d 1167, 1171 (9th Cir. 2004) ("Pleadings need  
 17 suffice only to put the opposing party on notice of the claim . . .") (*quoting* Fontana v. Haskin,  
 18 262 F.3d 871, 977 (9th Cir. 2001))). A motion to dismiss for failure to state a claim should not  
 19 be granted unless it appears beyond doubt that plaintiff can prove no set of facts in support of the  
 20 claim that would entitle him to relief. *See* Hishon v. King & Spalding, 467 U.S. 69, 73 (1984)  
 21 (*citing* Conley v. Gibson, 355 U.S. 41, 45-46 (1957)); *see also* Palmer v. Roosevelt Lake Log  
 22 Owners Ass'n, 651 F.2d 1289, 1294 (9th Cir. 1981).

23 Plaintiff is an inmate currently in the custody of the U.S. Bureau of Prisons (BOP) at the  
 24 U.S. Penitentiary at Victorville, brings this civil rights action against defendant correctional  
 25 officials employed by the BOP. Plaintiff's sole claim in this action is that he was exposed to  
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1 environmental tobacco smoke (ETS) while housed at the U.S. Penitentiary at Atwater. Plaintiff  
2 names as defendants the following officials: Harrell Watts, National Administrator of Inmate  
3 Appeals; Joseph E. Gunja, Regional Director; Warden Paul Schultz; Assistant Warden Jesse  
4 Gonzalez.

5 The allegations of the first amended complaint indicate that Plaintiff has filed “numerous  
6 complaints” to administrative staff regarding his exposure to ETS. Plaintiff’s grievance is that  
7 these officials have disregarded his complaints that BOP policies are not being enforced.  
8 Plaintiff alleges that “as a direct result,” he has developed a number of health related problems  
9 over a period of time. Plaintiff seeks compensatory damages.

10 To constitute cruel and unusual punishment in violation of the Eighth Amendment, prison  
11 conditions must involve “the wanton and unnecessary infliction of pain.” Rhodes v. Chapman,  
12 452 U.S. 337, 347 (1981). Where a prisoner alleges injuries stemming from unsafe conditions of  
13 confinement, prison officials may be held liable only if they acted with “deliberate indifference to  
14 a substantial risk of serious harm.” Frost v. Agnos, 152 F.3d 1124, 1128 (9th Cir. 1998).  
15 Exposure to levels of environmental tobacco smoke (“ETS”) that pose an unreasonable risk of  
16 serious damage to a prisoner’s future health may state a cause of action under the Eighth  
17 Amendment where prison officials acted with deliberate indifference. Helling v. McKinney, 509  
18 U.S. 25, 35 (1993). The objective factor requires that the prisoner “show that he himself is being  
19 exposed to unreasonably high levels of ETS” and that the “risk of which he complains is not one  
20 that today’s society chooses to tolerate.” Helling, 509 U.S. at 35-6. The subjective factor  
21 requires that the prisoner demonstrate that prison officials acted with deliberate indifference in  
22 exposing him to ETS. Id.

23 The Helling court, while holding that a prisoner may state a claim for relief by alleging  
24 exposure to ETS, was clear in its holding that in order to do so, Plaintiff must allege facts that  
25 satisfy the constitutional standard. Defendants argue that Plaintiff has failed to set forth a prima  
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1 facie case for an Eighth Amendment violation. Defendants note that Helling involved a case  
2 where the prisoner alleged that he was assigned to a cell with another inmate who smoked five  
3 packs of cigarettes a day. The complaint also alleged that cigarettes were sold to inmates without  
4 properly informing them of the health hazards a nonsmoking inmate would encounter by sharing  
5 a room with an inmate who smoked. Helling, 509 U.S. at 28. The Supreme Court went on to  
6 note that the adoption of a smoking policy would bear heavily on the inquiry into deliberate  
7 indifference. Id. at 36.

8 Inherent in the deliberate indifference standard is the subjective state of mind of the  
9 defendant - a named defendant must be specifically aware of the particular danger to Plaintiff,  
10 and act in disregard of that danger. The plaintiff in Helling was exposed to a particular danger.  
11 He was housed with an inmate who smoked 5 packs of cigarettes a day in the same cell as the  
12 plaintiff. The Supreme Court further explicated the risk as “to be so grave that it violates  
13 contemporary standards of decency to expose anyone unwillingly to such a risk. In other words,  
14 the prisoner must show that the risk of which he complains is not one that today’s society  
15 chooses to tolerate.” Id.

16 Here, Plaintiff’s allegations fail for vagueness. The crux of Plaintiff’s claim is that prison  
17 officials are not consistently enforcing BOP policy. There are no specific allegations as to how  
18 much ETS Plaintiff is exposed to. There are no allegations regarding how long Plaintiff has been  
19 exposed to ETS. A generalized allegation that policy is not being enforced is insufficient to state  
20 a claim for relief. Helling makes it clear that, although exposure to ETS may constitute an  
21 Eighth Amendment violation, the deliberate indifference standard applies. That standard  
22 mandates that Plaintiff allege facts indicating that the named defendants had a sufficiently  
23 culpable state of mind - facts that they knew of a particular harm to Plaintiff and acted with  
24 disregard to that harm, causing injury to Plaintiff. Plaintiff has not done so here.

#### 25 Supervisory Liability

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1 Further, each of the named defendants is a prison administrator or supervisor. Plaintiff  
2 may not subject supervisory defendants to liability on the basis of respondeat superior. See  
3 Bibeau v. Pacific Northwest Research Foundation, 188 F.3d 1105, 1114 (9<sup>th</sup> Cir. 1999); Terrell v.  
4 Brewer, 935 F.2d 1015, 1018 (9<sup>th</sup> Cir. 1991). Liability may be imposed on supervisory  
5 defendants in a Bivens action only if the supervisor personally participated in the deprivation of  
6 constitutional rights or if there was a sufficient causal connection between the alleged wrongful  
7 conduct and the violation. See MacKinney v. Nielsen, 69 F.3d 1002, 1008 (9<sup>th</sup> Cir. 1995). Here,  
8 there are no facts alleged indicating that any of the named defendants knew of any particular  
9 harm to Plaintiff and acted with deliberate indifference to that harm.

10 In his opposition, Plaintiff fails to overcome the legal arguments proffered by Defendants.  
11 Plaintiff does, however, attempt to bolster his case with further factual allegations. In resolving a  
12 motion to dismiss, a district court may not consider materials outside the complaint and  
13 pleadings. See Gumataotao v. Director, 236 F.3d 1077, 1086 (9<sup>th</sup> Cir. 2001); Cooper v. Pickett,  
14 137 F.3d 616, 622 (9<sup>th</sup> Cir. 1998); Branch v. Tunnell, 14 F.3d 449, 453 (9<sup>th</sup> Cir. 1994). Plaintiff  
15 has not made any showing that, or proffered any legal authority that, his factual allegations, taken  
16 as true, state a claim for relief. Further, Plaintiff has not alleged any facts indicating that any of  
17 the supervisory defendants knew of a specific harm to Plaintiff and acted with disregard to that  
18 harm.

19 Accordingly, IT IS HEREBY RECOMMENDED that Defendants' motion to dismiss be  
20 granted, and this action be dismissed for failure to state a claim upon which relief could be  
21 granted.

22 These findings and recommendations are submitted to the United States District Judge  
23 assigned to the case, pursuant to the provisions of Title 28 U.S.C. § 636 (b)(1)(B). Within ten  
24 days after being served with these findings and recommendations, plaintiff may file written  
25 objections with the court. Such a document should be captioned "Objections to Magistrate  
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1 Judge's Findings and Recommendations." Plaintiff is advised that failure to file objections  
2 within the specified time waives all objections to the judge's findings of fact. See Turner v.  
3 Duncan, 158 F.3d 449, 455 (9<sup>th</sup> Cir. 1998). Failure to file objections within the specified time  
4 may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th  
5 Cir. 1991).

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7  
8 IT IS SO ORDERED.

9 **Dated: September 15, 2006**  
j14hj0

**/s/ William M. Wunderlich**  
**UNITED STATES MAGISTRATE JUDGE**